

PERSONAL FINANCIAL STATEMENT

As of _____, 20_____

Complete this form for: (1) each proprietor; (2) general partner; (3) managing member of a limited liability company (LLC); (4) each owner of 20% or more of the equity of the Applicant (including the assets of the owner's spouse and any minor children); and (5) any person providing a guaranty on the loan.

Name: _____ Business Phone : _____

Residence Address: _____ Home Phone: _____

City, State, Zip Code: _____

Business Name of Applicant/Borrower: _____

ASSETS	(Omit Cents)	LIABILITIES	(Omit Cents)
Cash on hand & in Banks	_____	Accounts Payable	_____
Savings Account	_____	Notes Payable to Banks & Others	_____
IRA or Other Retirement Account	_____	(describe in section 2)	
(describe in section 5)		Installment Account (Auto)	_____
Accounts & Notes Receivables	_____	Mo. Payments: _____	_____
(describe in section 5)		Installment Account (Other)	_____
Life Insurance – Cash Surrender Value Only	_____	Mo. Payments: _____	_____
(complete section 8)		Loan on Life Insurance	_____
Stocks & Bonds	_____	Mortgages on Real Estate	_____
(describe in section 3)		(describe in section 4)	
Real Estate	_____	Unpaid Taxes	_____
(describe in section 4)		(describe in section 6)	
Automobiles – Total Present Value	_____	Other Liabilities	_____
(describe in section 5 and include make & model)		(describe in section 7)	
Other Personal Property	_____	Total Liabilities	_____
(describe in section 5)		Net Worth	_____
Other Assets	_____		
(describe in section 5)			
TOTAL	_____	TOTAL	_____

Section 1. Income	Contingent Liabilities
Salary	As Endorser or Co-Maker
Net Investment Income	Legal Claims & Judgments
Real Estate Income	Provisions for Federal Income Tax
Other Income	Other Special Debt

Description of Other Income in Section 1

Section 2. Notes Payable to Banks and Others (use attachments if necessary)

Name & Address of Noteholder(s)	Original Balance	Current Balance	Payment Amount	Payment Frequency	How Secured or Endorsed Type of Collateral

Section 3. Stocks & Bonds (use attachments if necessary)

Name of Securities	Symbol	Number of Shares	Original Cost	Pledged Y/N	Current Market Value

Section 4. Real Estate Owned (use attachments as necessary)

	Property A	Property B	Property C
Type of Real Estate (e.g. Primary Residence, Commercial Property, 2 nd Home, Rental Property, Land)			
Address			
Date Purchased			
Original Cost			
Present Market Value			
Mortgage Holder Name			
Address of Mortgage Holder			
Mortgage Account Number			
Current Mortgage Balance			
Amount of Payment			
Status of Mortgage (current, behind)			

Section 5. Personal Property and Other Assets

Section 6. Unpaid Taxes

Section 7. Other Liabilities – be detailed

Section 8. Life Insurance Held

Insurance Company	Beneficiary	Face Amount	Cash Surrender Value

Authorization

I hereby authorize Alliance Business Capital, its successors or assigns, to make inquiries as necessary to verify the accuracy of the statements made and to determine my creditworthiness.

CERTIFICATION: (to be completed by each person submitting the information requested on this form)

By signing this form, I certify under penalty of criminal prosecution that all information on this form and any additional supporting information submitted with this form is true and complete to the best of my knowledge. I understand that Alliance Business Capital, its successors or assigns, will rely on this information when making decisions regarding an application for a loan from an Alliance Business Capital Participating Lender, or for participation in the SBA 8(a) Business Development (BD) program.

Signature: _____ Date: _____

Print Name: _____ Social Security Number: _____

Signature: _____ Date: _____

Print Name: _____ Social Security Number: _____

NOTICE TO LOAN APPLICANTS: CRIMINAL PENALTIES AND ADMINISTRATIVE REMEDIES FOR FALSE STATEMENTS:

Knowingly making a false statement on this form is a violation of Federal law and could result in criminal prosecution, significant civil penalties, and a denial of your loan. A false statement is punishable under 18 U.S.C. §§ 1001 and 3571 by imprisonment of not more than five years and/or a fine of up to \$250,000; under 15 U.S.C. § 645 by imprisonment of not more than two years and/or a fine of not more than \$5,000; and, if submitted to a Federally insured institution, a false statement is punishable under 18 U.S.C. § 1014 by imprisonment of not more than 30 years and/or a fine of not more than \$1,000,000.